

Audit and Standards Committee – 7 June 2019
Internal Audit plan 2018/19

Assurance engagements reported in 2018/19

No.	Internal Audit plan engagement title	Status	Number of Recommendations	Date reported to Audit and Standards Committee	Assurance level
1	Regularity audit of Subway annual stockcount	Complete	2	8 June 2018	Reasonable
2	Contract audit of cleaning services	Complete	2	14 September 2018	Reasonable
3	Systems review of school transport administration	Complete	9	14 September 2018	Reasonable
4	Regularity audit of the CRC energy efficiency scheme	Complete	Nil	14 September 2018	Sound in most areas
5	Digital assurance framework	Complete	3	30 November 2018	Reasonable
6	Regularity audit of procurement outcomes	Complete	4	30 November 2018	Reasonable
7	Regularity audit of HR system administration	Complete	4	30 November 2018	Reasonable
8	Regularity audit of the public bodies climate change duties report	Complete	Nil	30 November 2018	Sound in most areas
9	Core Financial System review of treasury management	Complete	1	30 November 2018	Sound in most areas
10	Core financial system review of payroll administration	Complete	2	22 February 2019	Reasonable
11	Regularity audit of contract variations	Complete	2	22 February 2019	Reasonable
12	Regularity audit of complaints handling and reporting	Complete	4	22 February 2019	Reasonable
13	Digital controls review of SharePoint administration	Complete	7	7 June 2019	Reasonable with exceptions
14	Regularity audit of bus station facilities administration	Complete	3	7 June 2019	Reasonable
15	Regularity audit of Subway station office administration	Complete	1	7 June 2019	Reasonable
16	National Fraud Initiative (NFI) outcomes 2018/19	Complete	Nil	7 June 2019	Sound in most areas