

Audit and Standards committee – 29 November 2019
Internal Audit plan 2019/20

Follow-up performance report – September to November 2019

Engagement title	Date reported to Audit & Standards Committee	Number of recommendations from initial engagement				Date of Follow up review	Number of recommendations implemented				% Implemented	% Not Implemented
		High	Medium	Low	Total		High	Medium	Low	Total		
Digital assurance framework	30 November 2018	Nil	3	Nil	3	October 2019	Nil	2	Nil	2	67	33
Core financial systems review of treasury management	30 November 2018	Nil	1	Nil	1	November 2019	Nil	1	Nil	1	100	Nil
Regularity audit of complaints handling and reporting	22 February 2019	Nil	4	Nil	4	October 2019	Nil	4	Nil	4	100	Nil
Regularity audit of bus station facilities administration	7 June 2019	Nil	1	2	3	October 2019	Nil	1	2	3	100	Nil
Regularity audit of subway station offices administration	7 June 2019	Nil	1	Nil	1	September 2019	Nil	1	Nil	1	100	Nil
Contract audit: security and stewarding	30 August 2019	Nil	3	1	4	September 2019	Nil	3	Nil	3	75	25
Total(s)		Nil	13	3	16		Nil	12	2	14	88	12